



Receipt

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 99,395-A)

RECEIVED

SEP 18 2001

TECH CENTER 1600/2900

In re Application of:)
Lawn et al.) Group Art Unit: 1645
Serial No.: 09/595,526) Examiner: Not Assigned
Filed: June 16, 2000)
For: **Compositions and Methods for**)
Increasing Cholesterol Efflux and)
Raising HDL Using ATP Binding)
Cassette Transporter Protein)
ABC1)

TRANSMITTAL LETTER

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In regard to the above identified application,

1. We are transmitting herewith the attached:
 - a) Request for Corrected Filing Receipt
 - b) Copy of Filing Receipt with changes noted thereon
 - c) Postcard.
2. With respect to fees:
 - a) There are no fees due.
 - b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.
3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Asst. Commissioner for Patents, Washington, D.C. 20231 on the 8th day of May, 2001.

Respectfully submitted



Anita J. Terpstra

Registration No. 47132



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 99,395-A)

PATENT

In re Application of:

Lawn et al.

Serial No.: 09/595,526

Filed: June 16, 2000

For: **Compositions and Methods for
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Cassette Transporter Protein
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REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Dear Sir:

1. Attached is a copy of the official filing receipt received from the PTO in the above-referenced application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is incorrectly entered.

Error: Title

Compositions and Methods for Increasing Cholesterol Efflux and Raising HDL ATP Binding
Cassette Transporter Protein ABC1

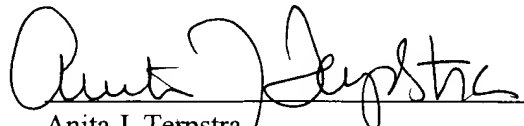
Correct: Title

**Compositions and Methods for Increasing Cholesterol Efflux and Raising HDL Using
ATP Binding Cassette Transporter Protein ABC1.**

3. The correction is not due to any error by applicant and no fee is due.

Respectfully submitted,

Date: May 8, 2001


Anita J. Terpstra
Registration No. 47,132

FILE COPY

UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov


Bib Data Sheet

CONFIRMATION NO. 9969

SERIAL NUMBER 09/595,526	FILING DATE 06/16/2000 RULE	CLASS XXX	GROUP ART UNIT 2899	ATTORNEY DOCKET NO. 99.395-A
APPLICANTS Richard M. Lawn, San Francisco, CA; David Wade, Palo Alto, CA; John F. Oram, Seattle, WA; Michael Garvin, San Francisco, CA;				
** CONTINUING DATA ***** THIS APPLN CLAIMS BENEFIT OF 60/140,264 06/18/1999 AND CLAIMS BENEFIT OF 60/153,872 09/14/1999 AND CLAIMS BENEFIT OF 60/166,573 11/19/1999				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY ** ** 08/21/2000				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged	Examiner's Signature _____ Initials _____	STATE OR COUNTRY CA	SHEETS DRAWING 13	TOTAL CLAIMS 80
INDEPENDENT CLAIMS 16				
ADDRESS McDonnell Boehnen Hulbert & Berghoff 32nd Floor 300 South Wacker Drive Chicago, IL 60606				
TITLE Compositions and methods for increasing cholesterol efflux and raising HDL using ATP binding cassette transporter protein ABC1				
FILING FEE RECEIVED 1640	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/595,526	06/16/2000	1645	1480	99.395-A	13	80	16

McDonnell Boehnen Hulbert & Berghoff
32nd Floor
300 South Wacker Drive
Chicago, IL 60606

FILING RECEIPT



OC00000005518779

Date Mailed: 10/31/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Richard M. Lawn, San Francisco, CA ;
David Wade, Palo Alto, CA ;
John F. Oram, Seattle, WA ;
Michael Garvin, San Francisco, CA ;

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/140,264 06/18/1999
WHICH CLAIMS BENEFIT OF 60/153,872 09/14/1999
WHICH CLAIMS BENEFIT OF 60/166,573 11/19/1999

Foreign Applications

If Required, Foreign Filing License Granted 08/21/2000

**** SMALL ENTITY ****

Title

~~Compositions and methods for increasing cholesterol efflux and raising hdl-
cassette transporter protein abc1~~

Compositions and Methods for Increasing Cholesterol Efflux and Raising HDL
using ATP Binding Cassette Transporter Protein ABC1

435

DOCKETED

NOV 02 2000

DUE DATE: Foreign Deadline - 6-16-01
BY: *MAAB*